



99-2828
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Bartman, et al.
Application No. : 09/964,198
Filed : September 25, 2001
Title : Hybrid Narrow-Linewidth Semiconductor Lasers
Grp./Div. : 2828
Examiner : Phillip Nguyen
Reference : 0007975-0014

CERTIFICATE OF MAILING (37 C.F.R. § 1.8A)

I hereby certify that this correspondence is, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the below shown address.

Mary Helen Lopez

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TECHNOLOGY CENTER 28000

AMENDMENT/RESPONSE TRANSMITTAL LETTER

Assistant Commissioner for Patents
Box Non-Fee Amendment
Washington, D.C. 20231

Enclosed is an amendment to the above-identified application.

| CALCULATION OF FEES | | | | | | |
|---------------------|--|---|----------------------------|-------------------------|----------|-----|
| ITEM | | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PAID FOR | EXCESS CLAIMS X RATE | SUBTOTAL | FEE |
| A | TOTAL CLAIM FEES | 12 | 30 | 0 x \$9 | | 0 |
| B | INDEPENDENT CLAIMS | 1 | 3 | 0 x \$42 | | 0 |
| C | SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 x (A + B) | | | | | |
| D | MULTIPLE-DEPENDENT CLAIMS FEE*** | SMALL ENTITY FEE = \$140.00 LARGE ENTITY FEE = \$ 280.00 | | | 0 | |
| E | TOTAL FILING FEE | | | | 0 | |
| F | NO ADDITIONAL FEE REQUIRED**** | IF NO FEE REQUIRED, INSERT "0" | | | 0 | |

List Independent Claims: 1

* IF HIGHEST NUMBER PREVIOUSLY PAID FOR IS 20 OR LESS, WRITE "20" IN COLUMN 3
** IF HIGHEST NUMBER PREVIOUSLY PAID FOR IS 3 OR LESS, WRITE "3" IN COLUMN 3
*** PAY THIS FEE ONLY WHEN MULTIPLE DEPENDENT CLAIMS ARE ADDED FOR THE FIRST TIME
**** IF NO FEE REQUIRED, ADDRESS ENVELOPE TO "BOX NON-FEE AMENDMENTS"

_____ Attach is our check for \$ _____ to pay the fees calculated above.
_____ A Petition for Extension of Time and the required fee are enclosed.
_____ Other enclosures:

Authorization and Address for Correspondence:

| | |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | The Commissioner is authorized during the prosecution of this application to charge fees that may be required or credit any overpayment of fees to Deposit Account No. 501215, except for payment of patent issue fees required under 37 CFR § 1.18. Please show our above-referenced number with any credit or charge to our Deposit Account. A copy of this letter is enclosed. |
|-------------------------------------|---|

Please address all correspondence to **J.D. Harriman II, COUDERT BROTHERS LLP, 333 South Hope Street, 23rd Floor, Los Angeles, California 90071.**

Respectfully submitted,
COUDERT BROTHERS LLP

Date: January 23, 2003

By:
J. D. Harriman II
Reg. No. 31,9671

COUDERT BROTHERS LLP
333 South Hope Street, Suite 2300
Los Angeles, California 90071
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Mary Helen Lopez
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Applicant: : Bartmann, et al.
Application No. : 09/964,198
Filed : September 25, 2001
Title : HYBRID NARROW-LINEWIDTH SEMICONDUCTOR
LASERS
Grp./Div. : 2828
Examiner : Phillip Nguyen
Reference No. : 0007975-0014/CIT-3289

AMENDMENT AND RESPONSE

COUDERT BROTHERS LLP
333 South Hope Street, Suite 2800
Los Angeles, California 90071
January 23, 2003

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TECHNOLOGY CENTER

Assistant Commissioner for Patents
Box Non-Fee Amendment
Washington, D.C. 20231

Commissioner:

This is an amendment and response to the outstanding Office Action dated October 23, 2002 (paper number 3) in the present application. Please make the following amendments in the present application.